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Assistant Commissioner for Patents
Washington, D.C. 20231

PATENT
Attorney Docket No.: 015280-377100US
Client Reference No.: E-286-98/4

On OCTOBER 21, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: *Amanda J. Gortley*

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#9
H.G.J
2/25/03

In re application of:

Douglas E. BRENNEMAN et al.

Application No.: 09/936,888

Filed: September 12, 2001

For: PREVENTION OF FETAL
ALCOHOL SYNDROME AND
NEURONAL CELL DEATH WITH
ADNF POLYPEPTIDES

Examiner: To Be Assigned

Art Unit: To Be Assigned

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/267,511, filed March 12, 1999 (Attorney Docket No. 015280-377000US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

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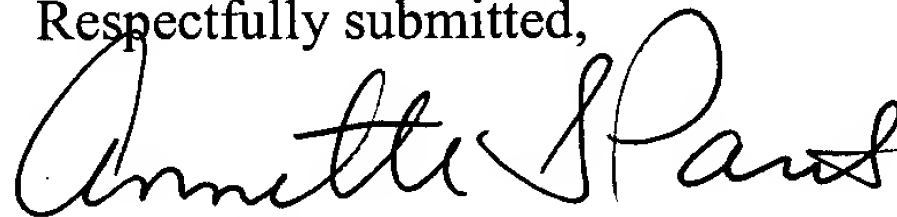
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representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Annette S. Parent
Reg. No. 42,058

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